

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Patent Application

Inventor(s): Shek Fai Lau et al.
Serial No.: 09/924,624
Confirm. No.: 5227
Filed: August 8, 2001
Title: Electrode Self-Cleaning Mechanism for Electro-Kinetic Air Transporter-Conditioner Devices

PATENT APPLICATION

Art Unit: 1711
Examiner: Thao T. Tran

Customer No. 23910

CERTIFICATE OF OWNERSHIP UNDER 37 C.F.R. §3.73(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Sharper Image Corporation, a Delaware corporation, makes this statement to establish ownership of the patent application identified above pursuant to 37 C.F.R. §3.73(b).

The above-captioned application is a continuation of United States Patent Application Serial No. 09/564,960, filed May 4, 2000 entitled "Electrode Self-Cleaning Mechanism for Electro-Kinetic Air Transporter-Conditioning Devices," which is a continuation-in-part of United States Patent Application Serial No. 09/186,471, filed November 5, 1998 entitled "Electro-Kinetic Air Transporter-Conditioner" (now United States Patent No. 6,176,917, issued January 23, 2001).

The following evidentiary document establishes a chain of title:

The Assignment of parent Application No. 09/564,960 recorded on September 20, 2000 at reel 011098, frames 0383 - 0387, established a chain of title from the original owner to Sharper Image Corporation (the Assignee).

The evidentiary documents have been reviewed and Assignee hereby certifies that, to the best of Assignee's knowledge and belief, title to the above-identified patent application is in the Assignee.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or

imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 5/15/03

Sharper Image Corporation

By:

Andrew J. Parker

Print Name:

Andrew J. Parker

Title:

Senior Vice President

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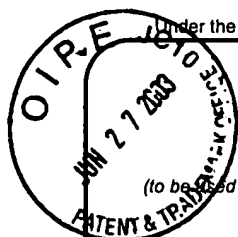
FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 400.00)	Application Number	09/924,624
	Filing Date	8/8/2001
	First Named Inventor	Lau, et al.
	Group Art Unit	1711
	Examiner Name	Thao T. Tran
	Attorney Docket Number	SHPR-01041US4

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METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																									
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†] <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Deposit Account Number: 06-1325 Deposit Account Name: Fliesler Dubb Meyer & Lovejoy 2. <input checked="" type="checkbox"/> Payment Enclosed: [X] Check [] Other		3. ADDITIONAL FEES <table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th><th>Fee Due</th></tr></thead><tbody><tr><td>1051/\$130</td><td>2051/\$65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>1052/\$50</td><td>2052/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr><tr><td>1812/\$2,520</td><td>1812/\$2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>1251/\$110</td><td>2251/\$55</td><td>Extension for response within first month[†]</td><td>110</td></tr><tr><td>1252/\$400</td><td>2252/\$200</td><td>Extension for response within second month[†]</td><td></td></tr><tr><td>1253/\$920</td><td>2253/\$460</td><td>Extension for response within third month[†]</td><td></td></tr><tr><td>1254/\$1,440</td><td>2254/\$720</td><td>Extension for response within fourth month[†]</td><td></td></tr><tr><td>1255/\$1,960</td><td>2255/\$980</td><td>Extension for response within fifth month[†]</td><td></td></tr><tr><td>1401/\$320</td><td>2401/\$160</td><td>Notice of Appeal</td><td></td></tr><tr><td>1453/\$1,280</td><td>2453/\$640</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr><tr><td>1501/\$1,280</td><td>2501/\$640</td><td>Utility Issue Fee (Or Reissue)</td><td></td></tr><tr><td>1502/\$460</td><td>2502/\$230</td><td>Design Issue Fee</td><td></td></tr><tr><td>1460/\$130</td><td>1460/\$130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807/\$50</td><td>1807/\$50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>1806/\$180</td><td>1806/\$180</td><td>Submission of Information Disclosure Statement</td><td>180</td></tr><tr><td>8021/\$40</td><td>8021/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809/\$740</td><td>2809/\$370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>1801/\$740</td><td>2801/\$370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td colspan="2">Other fee (specify): Statutory Disclaimer</td><td></td><td>110</td></tr><tr><td colspan="2">Other fee (specify):</td><td></td><td></td></tr><tr><td colspan="2">SUBTOTAL (3)</td><td></td><td>(\$ 400.00)</td></tr></tbody></table>		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	1051/\$130	2051/\$65	Surcharge - late filing fee or oath		1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet		1812/\$2,520	1812/\$2,520	For filing a request for reexamination		1251/\$110	2251/\$55	Extension for response within first month [†]	110	1252/\$400	2252/\$200	Extension for response within second month [†]		1253/\$920	2253/\$460	Extension for response within third month [†]		1254/\$1,440	2254/\$720	Extension for response within fourth month [†]		1255/\$1,960	2255/\$980	Extension for response within fifth month [†]		1401/\$320	2401/\$160	Notice of Appeal		1453/\$1,280	2453/\$640	Petition to revive unintentionally abandoned application		1501/\$1,280	2501/\$640	Utility Issue Fee (Or Reissue)		1502/\$460	2502/\$230	Design Issue Fee		1460/\$130	1460/\$130	Petitions to the Commissioner		1807/\$50	1807/\$50	Processing fee under 37 CFR 1.17(q)		1806/\$180	1806/\$180	Submission of Information Disclosure Statement	180	8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)		1809/\$740	2809/\$370	Filing a submission after final rejection (37 CFR 1.129(a))		1801/\$740	2801/\$370	Request for Continued Examination (RCE)		Other fee (specify): Statutory Disclaimer			110	Other fee (specify):				SUBTOTAL (3)			(\$ 400.00)
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Jeffrey R. Kurin	Reg. Number	41,132
Signature	<i>Jeffrey R. Kurin</i>	Date	6/25/03

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number		09/924,624
Filing Date		8/8/2001
First Named Inventor		Shek Fai Lau
Art Unit		1711
Examiner Name		Thao T. Tran
Total Number of Pages in This Submission	n/a	Attorney Docket Number SHPR-01041US4

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ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Form 1449 <input checked="" type="checkbox"/> (40) Cited Documents <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Certificate of Ownership <input checked="" type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Post Card
Remarks 		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jeffrey R. Kurin Fliesler Dubb Meyer & Lovejoy LLP	
Signature	<i>Jeffrey R. Kurin</i>	Registration No.: 41,132
Date	June 25, 2003	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Jeffrey R. Kurin		
Signature	<i>Jeffrey R. Kurin</i>	Date	6/25/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: SHPR-01041US4
JKurin/SHPR/1041/1041us4/resp.transmittal.pdf